

NAVSEA  
STANDARD ITEM

FY-12

ITEM NO:	<u>009-04</u>
DATE:	<u>30 JUL 2010</u>
CATEGORY:	<u>I</u>

1. SCOPE:

1.1 Title: Quality Management System; provide

2. REFERENCES:

2.1 ANSI/ISO/ASQ Q9001-2008, Quality Management Systems - Requirements

2.2 ANSI/NCSL Z540-3, Requirements for the Calibration of Measuring and Test Equipment

2.3 ISO/IEC 17025, General Requirements for the Competence of Testing and Calibration Laboratories

3. REQUIREMENTS:

3.1 Establish, document, implement, and maintain a Quality Management System as a means of ensuring that product conforms to specified requirements. The system shall, as a minimum, comply with the requirements of 2.1 and all additional contract requirements. The Quality Management System (Quality Manual, documented procedures required by 2.1 and 3.1) shall be submitted to the SUPERVISOR for a document review and acceptance. The contractor shall have an acceptable documented Quality Management System, in accordance with this Standard Item, in place to receive an award of a Job Order. The Quality Management System shall be subject to periodic audits by the SUPERVISOR throughout the contract.

3.1.1 Include the following additional documented procedures:

3.1.2 Management Responsibility: Address all areas of Paragraphs 5.1 through 5.6.3, 6.1, 6.2.1, 6.2.2, and 8.5.1 of 2.1.

3.1.3 Customer Related Processes: Address all areas of Paragraphs 7.2.1 through 7.2.3 of 2.1.

3.1.4 Purchasing: Address all areas of Paragraphs 7.4.1 through 7.4.3 of 2.1.

3.1.4.1 Verification of Purchased Product: Identify, in the purchasing documents, verification arrangements at the subcontractor or

vendors location/premises. Purchasing documents shall contain the following statement when the SUPERVISOR requests government inspection: "Government Inspection is required prior to shipment from your plant. Upon receipt of this order, promptly notify and furnish a copy to the government representative who normally services your plant so that appropriate planning for government inspection can be accomplished. In the event the government representative or office cannot be located, our purchasing agent shall be notified immediately."

3.1.4.2 Unless otherwise specified in a higher tier document, Receipt Inspection of contractor furnished materials shall be based on supplier performance history and one or more of the following: certificate of compliance, vendor material test certification data, manufacturer's mill certificate, or testing using sampling techniques.

3.1.4.3 Use of black-oxide coated brass threaded fasteners (BOCBTF) is not authorized in the accomplishment of any work under this contract.

3.1.5 Production and Service Provision: Address all areas of Paragraphs 7.5.1 - 7.5.5 of 2.1.

3.1.6 Monitoring and Measurement of Product: Address all areas of Paragraph 8.2.4 of 2.1.

3.1.7 Control of Monitoring and Measuring Devices: Address all areas of Paragraph 7.6 of 2.1, 2.2, and/or 2.3.

3.1.8 Measurement, Analysis, and Improvement: Address all areas of Paragraphs 8.2.1, 8.4, and 8.5.1 of 2.1.

3.2 Submit one legible copy, in hard copy or electronic media, of any revisions to the accepted Quality Management System identified in 3.1 to the SUPERVISOR within 7 days of contractor approval.

3.3 The corrective and preventive action program shall require that a copy of the written responses to contractor generated corrective actions will be provided to the SUPERVISOR when requested.

3.4 Respond in writing to each SUPERVISOR issued Method B/C/D Corrective Action (CA) within 3 business days unless otherwise specified by the SUPERVISOR. Initial response shall include immediate corrective action taken and a plan of action for CA completion. Final response shall include preventive action, root cause analysis and Objective Quality Evidence (OQE) for corrective action completed.

3.5 Attend fact-finding/investigative meetings when requested by the SUPERVISOR.

3.6 Develop a Test and Inspection Plan incorporating each Work Item in the job order. The initial Test and Inspection Plan shall include all inspections and tests required by zero-tier references and first tier references, as well as symbols (I)(V) test/inspections and (G) government notification identified in the Work Item, and any additional tests and inspections the contractor deems necessary to substantiate product conformance. Submit one legible copy, in hard copy or electronic media, of initial copy of the Test and Inspection Plan to the SUPERVISOR prior to productive work for non-CNO availabilities and no later than 5 days prior to the availability start date for CNO availabilities. (See Note 4.5)

3.6.1 Submit one legible copy, in hard copy or electronic media, of an updated Test and Inspection Plan when requested by the SUPERVISOR.

3.6.2 A Test and Inspection Plan shall:

3.6.2.1 Be revised prior to the start of productive work and updated as work proceeds on each Work Item. It shall be available upon request by the SUPERVISOR. Supporting data for tests and inspections requiring government notification (G), including accept/reject criteria, shall be available at the location of each test and inspection. Include provisions for documenting the date, time, and identification of the SUPERVISOR's representative notified and government representative attending each (G)-Point on the Test and Inspection Plan.

3.6.2.2 Each test and inspection shall be identified by its respective Work Item paragraph number and shall include inspection symbols (I) and (V), and the government notification (G) Point symbol where applicable. Tests and inspections required by the Work Item that provide a report of conditions found are not required to be included in the Test and Inspection Plan unless specifically required by the Work Item.

3.6.3 Provide identification of the item to be inspected by name, number, and location (e.g., number 3 main feed pump, 5-180-0-E).

3.6.4 Provide identification of each characteristic of the items to be inspected and provide the criteria for acceptance for each characteristic (e.g., air test; 2 PSIG for 10 minutes; no drop).

3.7 Test and Inspection records shall:

3.7.1 Include the ship's name and hull number, Job Order and Work Item number, paragraph number, date, time, and signature of the contractor's authorized representative who witnessed or performed the test or inspection.

3.7.2 Be maintained at a contractor location accessible to the site of the work required by the Job Order.

3.7.3 Be documented within 24 hours of accomplishment or prior to the subsequent tests or inspections, whichever is less. The records shall indicate the results of the test and or inspection accomplished. Records

shall be incorporated into the Test and Inspection Plan within 72 hours after completion of each test or inspection.

3.7.3.1 For tests and inspections involving (G)-points, records shall be documented upon acceptance or rejection and a hard copy (or electronic copy as authorized by the SUPERVISOR) provided to the SUPERVISOR at the conclusion of each (G)-Point. For tests and inspections utilizing Coating QA Tool Kit (CQATK) paperless QA program in accordance with 009-32, the data must be downloaded into the computer at the time and location of inspection.

3.7.4 Required reports resulting from tests or inspections shall include the appropriate design criteria for each attribute or measurement required by the Work Item.

3.8 The SUPERVISOR will consider the Work Item incomplete if the contractor's documentation and records are not complete.

3.9 Accomplish (I) and (V) tests/inspections that do not have associated (G)-points as follows:

3.9.1 (I) inspections require verification and documentation by a separate individual, other than the person who has accomplished the work, who is qualified as an inspector and currently certified where required by the technical documents (e.g., NBPI, NACE, nondestructive testing, electrical cableway inspections, etc.).

3.9.2 (V) inspections require verification and documentation by the qualified tradesperson, trade supervisor, or inspector.

3.9.3 The authority to accomplish, document, accept and reject (I) and (V) inspections may be delegated to qualified subcontractor personnel, without regards to geographical location, subject to SUPERVISOR approval.

3.10 Accomplish (G)-Point (government notification) as follows:

3.10.1 (G) is a symbol inserted in a Work Item to establish a point in the sequence of accomplishment of work at which time the SUPERVISOR shall be notified by the prime contractor in all cases to permit observation of a specific test or inspection (I)(V) by the government. When the symbol (G) precedes tests or inspections in a Work Item which are applicable to more than one action, the symbol (G) shall identify the action required, e.g., (G) "HYDROSTATIC TEST". When more than one unit is involved, the (G) notification requirement applies to each unit. Pre-inspection by the contractor prior to a (G)-Point is neither required nor desired.

3.10.2 Notify the SUPERVISOR's designated representative via FAX, hard copy, or by electronic method, as directed by the SUPERVISOR.

3.10.2.1 Notify the SUPERVISOR during normal day shift working hours, at least 4 hours, but not more than one working day, prior to commencing the specific requirements in the paragraph annotated with the symbol (G).

3.10.2.2 Notify the SUPERVISOR not later than 4 hours before the end of the last preceding day shift when tests or inspections following a (G) Point are scheduled after normal day shift working hours, on a weekend, or on a federal holiday.

3.10.2.3 Notify the SUPERVISOR at least 48 hours, but not more than 72 hours, prior to commencing (G)-Points at contractor's/subcontractor's plants located in excess of 50 miles by the most direct roadway nearest to the place of performance of the contract. Document the date, time, and identification of the SUPERVISOR's representative notified.

3.10.2.4 For (G)-Points scheduled after normal day shift working hours, on a weekend, or a Federal holiday, notify the SUPERVISOR to cancel a scheduled test or inspection as soon as known, but no later than 2 hours prior to the scheduled event.

3.10.3 Proceed with the test or inspection if the SUPERVISOR is not present, provided the required advance notice has been furnished to the SUPERVISOR and the contractor has completed and documented the preceding tests and inspections.

3.10.4 A partial test or inspection requiring (G) notification may be accomplished in the event that all work cannot be completed and work progress would be delayed in waiting for total completion of work. Comply with the requirements of 3.10.2 when the incomplete work is completed and ready for the remainder of the test or inspection. Note partial inspections on the test or inspection form.

3.10.5 Invoke (G) notification requirements for tests or inspections involving a subcontractor in purchase orders such that the requirements of 3.10.2 are met.

3.10.5.1 Submit one legible copy, in hard copy or electronic media, of the technical specification portion of these purchase orders which involve (G) notifications to the SUPERVISOR prior to the start of work by the subcontractor.

3.10.6 A qualified contractor representative shall be present to accomplish, accept or reject and document tests or inspections associated with the symbol (G).

3.10.6.1 The authority to witness or perform, document and accept/reject (I)(G) and (V)(G) tests and inspections is a prime contractor's responsibility but, subject to SUPERVISOR approval within a 50-mile radius of

the contractor's plant nearest to the place of performance of the contract, may be delegated to subcontractors who are MSRA or ABR agreement holders, SSPC QP1 certified, NDT certified, or have a current Quality Management System accepted by the SUPERVISOR.

3.10.6.2 The contractor may delegate responsibility to perform, document and accept/reject (I)(G) and (V)(G) tests and inspections to subcontractors performed at plants located outside a 50-mile radius of the contractor's plant nearest to the place of performance of the contract subject to SUPERVISOR prior approval.

3.10.6.3 Associated (G)-Point notification requirements shall not be delegated.

3.11 For work being performed outside a 50-mile radius of the place of contract performance, the prime contractor shall submit one legible copy, in hard copy or electronic media, of purchase orders to the SUPERVISOR within 48 hours or otherwise directed by the SUPERVISOR, prior to issue of purchase order and shipment of equipment. For contractors who do not utilize purchase orders as a vehicle for accomplishing work within their company, a report identifying the delineation of the specific Work Item requirements, in lieu of the purchase order shall be submitted to the SUPERVISOR.

3.12 Maintain a current list for reference by the SUPERVISOR, designating the contractor's qualified and currently certified inspectors who witness or perform and sign for symbol (I) inspections, indicating the type of tests and inspections for which each inspector is qualified and currently certified. When subcontractors are delegated responsibility, the subcontractor's qualified and currently certified inspectors shall be included on this list.

3.13 Submit one legible copy, in hard copy or electronic media, of the most recent contractor's/subcontractor's SSPC QP-1 audit results to the SUPERVISOR, no later than 10 working days after contractor's/subcontractor's receipt of the final audit report.

#### 4. NOTES:

4.1 ANSI/ISO/ASQ Q9001:2008 commercial third party registrar certification is not required.

4.2 Submittal of procedures and Process Control Procedures (NAVSEA Standard Item 009-09) invoked by NAVSEA Standard Items, MIL-STDs, drawings, technical publications, and specifications, although an integral part of the Quality Management System, are to be submitted and approved by the SUPERVISOR independent of the Quality Management System.

4.3 The recommended Quality Management System structure is the Level A, B, and C hierarchy as described in ANSI/ISO/ASQC Q10013, Guidelines for Developing Quality Manuals.

4.4 The Quality Management System submitted in 3.1 requires a one-time submittal/acceptance unless this NAVSEA Standard Item and/or references change or contractor's status changes.

4.5 A "zero-tier reference" is a specification, standard, drawing, test memo, planning/design memo that is cited in the contract (including its attachments). A "first-tier reference" is either: (1) a specification, standard, or drawing cited in a zero-tier reference, or (2) a specification cited in a first tier drawing. All zero-tier and first tier references are mandatory for use. All lower tier references shall be used for guidance only.